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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/583,589

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Daniel Migault

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EXAMINER

NGUYEN, PHUNG HOANG JOSEPH

ART UNIT

PAPER NUMBER

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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/583,589	<b>Applicant(s)</b> MIGAULT ET AL.	
	<b>Examiner</b> PHUNG-HOANG J. NGUYEN	<b>Art Unit</b> 2614	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 02 April 2009.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-14 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4 and 9-14 is/are rejected.
- 7) ☒ Claim(s) 5-8 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### DETAILED ACTION

Applicant's amendment filed 04/02/2009 has been carefully considered and has been entered.

Claims amended: 1, 5, 9-11 and 14.

Claims pending: 1-14 with claims 1 and 9 being independent.

#### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. **Claims 1-4 and 9-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Adamczyk (US Pat 7,320,026) in view of RFC 3026.**

As to claims 1 and 9, Adamczyk teaches a method of sending at least one request (R) (***one or more requests, col. 2, line 2***) to a domain name server (***col. 2, line 3***) from a requesting machine (H) (***label 304 of fig. 3 or label 306***), said domain name server (***col. 2, line 3***) being an E.164.arpa telephone number (***ENUM format, col. 7, line 6 and lines 19-25***) domain name server and each name being determined from an E.164 format destination telephone number (NTEL) (***the destination subscriber, col. 8, line 6***) contained in said request (R) (***the send message request***

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***includes a phone number identifying the destination subscriber, i.e., the subscriber that will receive the message, col. 8, lines 5-8).***

Adamczyk also teaches a telephone number database (DB) (***LDAP Server/database 322 is associated with platform 310 (col. 7, lines 9-10) where the requesting machine/computer 304 interfacing with (col. 6, lines 54-57) local to the requesting machine (H) (“local” is referred to the association between the LDAP 322 and requesting machine/computer 304).***

Furthermore, Adamczyk discusses verifying and mapping the destination telephone number and IP address wherein “*the DNS/ENUM server 318 may perform any number of internal lookup functions and/or external requests to locate the address information, such as the IP address for LDAP server 322*” (col. 7, lines 10-14). Also “*the DNS/ENUM server 318 maintains mapping information for the requested phone numbers. In such an environment, new LDAP servers must register or otherwise alert the DNS/ENUM server 318 of their existence and their IP address*” (col. 7, lines 14-18). An ordinary artisan certainly appreciates the verification process to authenticate the destination address as well the consistent mapping information to preserve the quality of service and the security of a communication session.

Adamczyk does not explicitly teach a prior test of the validity of the destination telephone number (NTEL) of the request (R) is executed automatically and locally to the requesting machine (H) relative to a telephone number database (BD) local to the requesting machine (H) in order to forward the request (R) from the requesting machine

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(H) to the domain name server only if its destination telephone number (NTEL) passes said test.

RFC 3026 teaches the entity to which E. 164 test codes have been assigned will be responsible for providing any appropriate assignment information to DNS administrators (**page 1**). The implication is that prior to any type of information being routed, there must be a check capability to verify the validity of the destination telephone number, including format, country code and domain code. Furthermore, as appreciated by the ordinary skilled artisan, digit analysis is a form of required test in processing a request or a call.

Therefore it would have been obvious to the ordinary skilled artisan at the time the invention was made to incorporate the teaching of RFC 3026 into the teaching of Adamczyk for the purpose of clearly defining the ENUM format including the country code and this format must be verified/tested and passed prior to an actual call processing.

As to claim 2, Adamczyk, in view of RFC 3026, teaches country code as the form is lay out: 4043322278 is represented as 8.7.2.2.2.3.3.4.0.4.1.e164.arpa. As appreciated by the ordinary skilled artisan, e164.arpa represents the domain name 1 represents the country code (in this case, 1 for the USA) and 404 represents the area code... (**col. 7, line 6**).

In addition to Adamczyk, RFC 3026 also clearly defines the common advantage of zones of a domain name which includes the country code (**page 1**).

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As to claims 3-4, Adamczyk teaches at least one numbering plan is stored in the local telephone number database (BD) (for example 4043322278 is represented as 8.7.2.2.2.3.3.4.0.4.1.e164.arpa, col. 7, line 24) the numbering plan or each numbering plan comprising at least one block (BN) of telephone numbers (***e164.arpa = domain name; 1 = Country code (CC); 404 = area code or NPA; the last 7 digits (3322278) sometimes known as NPP/NXX***). Further support on this numbering plan can be found in RFC 2916 – “E.164 and DNS).

Adamczyk does not explicitly teach said test includes a step (E11) of determining whether the destination telephone number (NTEL) of the request (R) belongs to a block of numbers (BN) of the numbering plan, the destination telephone number (NTEL) of the request (R) failing said test (E12) if the result of the determination step (E11) is a negative result.

RFC 3026 teaches the entity to which E. 164 test codes have been assigned will be responsible for providing any appropriate assignment information to DNS administrators (***page 1***). As RFC 3026 discusses the various country code zones in length (1-3 digits dependent on the CC), that is a matter of the administrative function of this country. The ITU provides the assignment information to each member state. Thus assigns test codes to determine the appropriate assignment information to the DNS. The test result is negative if the destination telephone number is not appropriately assigned to the numbering plan. Otherwise the test result is positive.

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As to claims 10 and 11, Adamczyk, in view of RFC 3026, teaches the device, wherein the receiver means (DR), the telephone number database (BD), the automatic control means (DC), and the sending means (DE) are in the requesting machine (H) *(It is obvious to the ordinary artisan, the requesting machine in this case is a computer 304 or a telephone system 306 or a PDA or a Mobile phone/smart phone... All of these devices (requesting machines) have all of the components mentioned above).* Furthermore, it can consult the telephone number database (BD) via a local area network (RL) *(see fig. 3 where 304 or 306 consult various database 322, 318 via Internet network).*

As to claim 12, Adamczyk, in view of RFC 3026, teaches a requesting machine including device for sending at least one request (col. 2, lines 8-9)

As to claim 13, Adamczyk, in view of RFC 3026, teaches a computer program adapted to be stored on a data medium and including program instructions for executing the method according to claim 1 of sending at least one request (**col. 2, line 63**).

As to claim 14, Adamczyk, in view of RFC 3026, teaches a system comprising at least one E.164.arp a numbering domain name server and a plurality of requesting machines (H) according to claim 12 adapted to send at least one request to said server(s) (**see claim 1 or 9**).

### **Allowable Subject Matter**

Claims 5-8 are objected to as allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

### ***Response to Arguments***

Applicant's arguments with respect to claims have been considered but are not persuasive to put the application in the condition for allowance.

Examiner has pointed out particular references contained in the prior arts of record in the body of this action for the convenience of the applicant. Although the specified citations are representative of the teachings in the art and are applied to the specific limitations within the individual claim, other passages and figures may apply as well. Applicant should consider the entire prior art as applicable as to the limitations of the claims. It is respectfully requested from the applicant, in preparing the response, to consider fully the entire references as potentially teaching all or part of the claimed invention, as well as the context of the passage as taught by the prior arts or disclosed by the examiner.

To better the rejection, examiner has pointed out several corresponding passages in response to applicant's claim of database local to the requesting machine as "Adamczyk teaches a telephone number database (DB) ***(LDAP Server/database 322 is associated with platform 310 (col. 7, lines 9-10) where the requesting machine/computer 304 interfacing with (col. 6, lines 54-57)*** local to the requesting machine (H) (***"local" is referred to the association between the LDAP 322 and requesting machine/computer 304***)".

While examiner admitted that Adamczyk does not explicitly teach a prior test of the validity of the destination telephone number (NTEL) of the request (R) is executed automatically and locally to the requesting machine (H) relative to a telephone number



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database (BD) local to the requesting machine (H) in order to forward the request (R) from the requesting machine (H) to the domain name server only if its destination telephone number (NTEL) passes said test.

Examiner sees the claim as a common practice to verify the appropriate mapping of the destination address or destination telephone number prior to establishment of the communication session. This is a very fundamental element of quality of service and security which Adamczyk discusses *“the DNS/ENUM server 318 may perform any number of internal lookup functions and/or external requests to locate the address information, such as the IP address for LDAP server 322” (col. 7, lines 10-14)*. Also *“the DNS/ENUM server 318 maintains mapping information for the requested phone numbers. In such an environment, new LDAP servers must register or otherwise alert the DNS/ENUM server 318 of their existence and their IP address” (col. 7, lines 14-18)*. An ordinary artisan certainly appreciates the verification process to authenticate the destination address as well the consistent mapping information to preserve the quality of service and the security of a communication session.

Furthermore, examiner provides RFC 3026 which teaches the entity to which E. 164 test codes have been assigned will be responsible for providing **any appropriate assignment information** to DNS administrators (*page 1*). The implication is that prior to any type of information being routed, there must be a check capability to verify the validity of the destination telephone number, including format, country code and domain code. Furthermore, as appreciated by the ordinary skilled artisan, digit analysis is a

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form of required test in processing a request or a call. Appropriate assignment does not sound like an internal testing as contended by the applicant (Remark, page 10).

Applicant, on the other hand, provides RFC 2602 to support the definition of "test codes". Examiner has reviewed the RFC 2602. It does not appear to relate to the "test codes" being "specifically reserved for testing purposes within the DNS" (Remark, page 10) as contended by the applicant. It is rather a presentation on the Integrated Local Management Interface (ILMI) and the Service Registry MIB, as defined by the ATM Forum. (RFC 2602 is attached for verification).

Therefore, examiner respectfully maintains the original rejection.

### **CONCLUSION**

Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to PHUNG-HOANG J. NGUYEN whose telephone number is (571)270-1949. The examiner can normally be reached on Monday to Thursday, 8:30AM - 5:00PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Curtis Kuntz can be reached on 571 272 7499. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/CURTIS KUNTZ/  
Supervisory Patent Examiner, Art Unit 2614

/Phung-Hoang J Nguyen/  
Examiner, Art Unit 2614